



Filing ID #10021656

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Dr. Heather Maura Ross
Status: Congressional Candidate
State/District: AZ06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/14/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alison Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P] LOCATION: AZ DESCRIPTION: Vanguard 10% Stock/90% Bond Portfolio	DC	\$50,001 - \$100,000	Tax-Deferred		
Heather Ross AZ Universities 403(B) ⇒ Vanguard Institutional Index Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Heather Ross Rollover IRA ⇒ Vanguard Total Int'l Bond Index Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
Heather Ross Roth IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Katherine Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P] LOCATION: AZ DESCRIPTION: 20% Stock/80% Bond Portfolio	DC	\$100,001 - \$250,000	Tax-Deferred		
Michelle Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P] LOCATION: AZ	DC	\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Vanguard Moderate Growth Portfolio					
Mitchell Ross 401K ⇒ Vanguard Total Bond Mkt Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total International Stock Index Fund [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total Int'l Bond Market Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total Stock Market Index Fund [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Mitchell Ross Rollover IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Mitchell Ross Rollover IRA ⇒ Vanguard Total Int'l Bond Index Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Mitchell Ross Roth IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Mitchell Ross SEP IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
The Mitchell Joshua Ross and Heather Maura Ross Family Trust ⇒ Vanguard High-Yield Tax-Exempt Fund [MF]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Wagner College	Speaking Honorarium	\$500.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase	April 2018	Credit card balance	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	Heart Rhythm Society
Director	Singletree Ranch Homeowners Association
Manager	St. Josephs Cardiology Management, LLC
COMMENTS: spouse	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2018	Heather Ross - Arizona State University	Leave of absence for Fall 2018 semester

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> Alison Ross 529 (Owner: DC) LOCATION: AZ DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard 10% Stock/90% Bond Portfolio Heather Ross AZ Universities 403(B) DESCRIPTION: Vanguard Institutional Index Fund Heather Ross Rollover IRA Heather Ross Roth IRA DESCRIPTION: Vanguard Total Stock Market Index Fund Katherine Ross 529 (Owner: DC) LOCATION: AZ DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard 30% Stock/70% Bond Portfolio Michelle Ross 529 (Owner: DC) LOCATION: AZ DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard Moderate Growth Portfolio Mitchell Ross 401K (Owner: SP) Mitchell Ross Rollover IRA (Owner: SP) Mitchell Ross Roth IRA (Owner: SP) DESCRIPTION: Vanguard Total Stock Market Index Fund

- Mitchell Ross SEP IRA (Owner: SP)
DESCRIPTION: Vanguard Total Stock Market Index Fund
- The Mitchell Joshua Ross and Heather Maura Ross Family Trust (100% Interest) (Owner: JT)
DESCRIPTION: Vanguard High-Yield Tax-Exempt Fund

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Heather Maura Ross , 05/14/2018